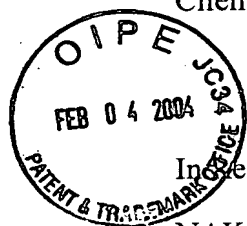


Docket Number: 007874-0271791  
Client Reference: NI-0006PCTUS

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of

NAKAJIMA

Group Art Unit: 3625

Application No.: 09/630,563

Examiner: Pond, Robert M.

Filed: August 2, 2000

Confirmation No.: 9970

For: ELECTRONIC SETTLEMENT SYSTEM, SETTLEMENT APPARATUS, AND  
TERMINAL

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
FEB 10 2004  
GROUP 3600

Sir:

Further to the Amendment filed February 2, 2004, Applicant respectfully submits this Information Disclosure Statement (IDS) and respectfully requests that the Examiner consider each reference and return a copy of the enclosed PTO-1449 with the Examiner's initial by each reference and signed and dated by the Examiner in accordance with MPEP §609.

Applicant submitted an IDS on December 28, 2000. A copy of the December 28, 2000 date-stamped receipt is enclosed. In that IDS, Applicant submitted a copy of the International Search Report (ISR) in the Japanese language (copy enclosed) issued by the Japanese Patent Office. A copy of each reference cited in the ISR was included with the IDS. The September 2, 2003, Office Action did not return a copy of the PTO-1449 filed with the December 28, 2000 Office Action.

Applicant filed an IDS on April 10, 2001, which included the cover page of the International Publication of Applicant's PCT/JP00/02015. The PCT application published as International Publication WO 01/09808. Applicant also submitted an English language translation of the ISR (copy enclosed) submitted with the December 28, 2000 IDS. The September 2, 2003 Office Action fully considered the April 10, 2001 IDS.

Applicant submitted an IDS on June 28, 2002 that included a translation of the International Preliminary Examination Report (IPER) (copy enclosed). The PTO-1449 submitted with the June 28, 2002 IDS listed two references U.S. Patent 5,608,778 and Japanese Publication 11-96252, that were cited in the IPER but not in the ISR. The September 2, 2003 Office Action did not consider the June 28, 2002 IDS for the reasons stated in the Office Action.

Enclosed is a PTO-1449 listing the enclosed documents. Japanese Publications 10-207946, 10-289267 and 11-96252, cited in the ISR and/or IPER, have no known English language equivalents. However, English abstracts are provided for each Japanese reference. It is also respectfully submitted that the relevance of each reference is concisely explained in the ISR and/or IPER.

International Publication WO 99/09502, cited in the ISR, is equivalent to EP 0950968 A1, published in the English language. A copy of the European publication is submitted instead of WO 99/09502, which is in the Japanese language.

International Publication WO 98/21677, cited in the ISR, and the IPER, is equivalent to U.S. Patent 6,332,133. U.S. Patent 6,332,133 is submitted instead of WO 98/21677, which is in the Japanese language.

International Publication WO 97/49074, cited in the ISR, is equivalent to U.S. Patents 5,889,863, 6,178,409, and 6,324,525. These U.S. Patents are submitted instead of WO 97/49074, which is in the Japanese language.


A copy of the article "Payment Switches for Open Networks" by Gifford et al., cited in the ISR, is also enclosed.

It is respectfully submitted that as each reference was cited prior to a first action on the merits and the relevance of each foreign reference concisely explained by the ISR and/or IPER,

NAKAJIMA - - 09/68  
Client/Matter: 007874-0271791

no fee for consideration of this IDS is due. However, in the event that consideration of this IDS requires a fee, the undersigned authorizes the charging of Deposit Account No. 03-3975 (Order No. 007874/0271791) in the amount of \$180.00 in accordance with 37 C.F.R. §1.17(p) for consideration of this IDS.

Respectfully Submitted,



John P. Darling  
Registration Number 44482

Date: February 4, 2004

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P.O. Box 10500  
McLean, VA 22102  
Telephone: (703) 905-2000  
Facsimile: (703) 905-2500

Attachments:

- PTO-1449
- Cited References
- PTO Date-Stamped Receipt (December 28, 2000)
- International Search Report (ISR) (with translation)
- International Preliminary Examination Report (IPER)

# RECEIPT FROM PTO FOR INDICATED ITEMS

(Do NOT Use for New or Continuing Applications of Any Kind)  
 Use 2 postcards for all New Applns. (cont/Div/CIP, too)  
 Use this sheet when filing CPA

Appln. No: 09/630,563	Atty: Glenn J. Perry
First Inventor: NAKAJIMA	Date: December 28, 2000
	Matter No: 271791
	Client No: 7874



ENCLOSED:

☐ Response/Amendment ☐ Cover Sheet ☐ Cited/Listed Documents

☒ Completion Request for R 53(f)/PCT. Nat

# 1 No. of Pages Abstract

# 62 No. of Pages Spec and Claims

# 29 No. of Numbered Claims Only

# 27 No. of Sheets of Drawings (Figs

1-27 )

☒ 1 Set Formal

☐ 1 Set Informal

☐ Cover Letter

☐ Declaration

☐ # of pages

☐ Assignment

☐ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

# ☐ No. of Priority Documents

☐ IDS Letter

☐ Cited Appln(s)

☒ Foreign Sch Rep/OA

☒ PTO-1449 ☒ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ 350

Fee (Check)

OTHER:

Current DUE DATE:

December 28, 2000

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